

Registration on AFM Portal

Manual (English)

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The Dutch Authority for the Financial Markets

The Dutch Authority for the Financial Markets (AFM) is committed to promoting fair and transparent financial markets.

As an independent market conduct authority, we contribute to a sustainable financial system and prosperity in the Netherlands.

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1. Registering and viewing an account

The AFM Portal has an authorisation structure and a permissions structure. The authorisation structure determines which user can grant other users access to certain data. The permissions structure divides the data in the Portal into different environments for different purposes. This manual provides an explanation of these changes as they relate to creating an account for the AFM Portal and assigning the authorisations.

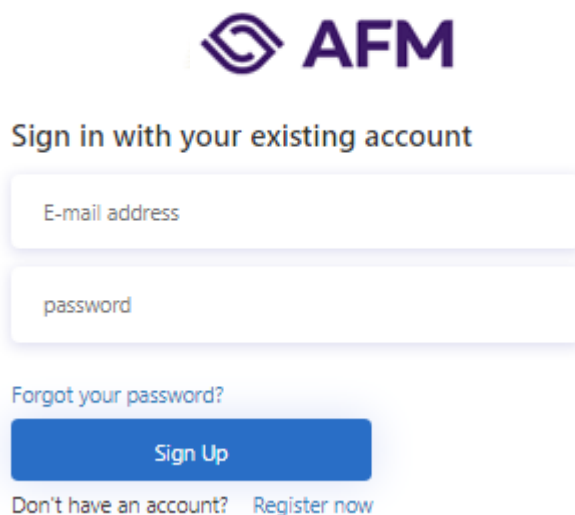
The AFM Portal is available in both Dutch and English: you can set the preferred language in each screen (at the top right). This manual is also available in Dutch.

Please also refer to the frequently asked questions about the AFM Portal on the AFM website.

1.1 Registering an account

Go to <https://Portaal.AFM.nl>.

Click 'Register now' to register an account.



The screenshot shows the AFM logo at the top, followed by the heading "Sign in with your existing account". Below this are two input fields: "E-mail address" and "password". A link "Forgot your password?" is positioned below the password field. A blue "Sign Up" button is located below the "Forgot your password?" link. At the bottom, the text "Don't have an account? Register now" is displayed.

Enter your email address and click 'Send verification code'. Please note that this must be a personal business email address, not your company's general email address.

< Cancel



E-mail address

Send verification code

New password

confirm new Password

First Name

insertion

Last name

telephone number

[View terms and conditions](#)

I agree with the General Terms and Conditions for the use of the AFM Portal.

The following email message will be sent to the person who registered. This is an email message from 'Microsoft on behalf of the Dutch Authority for the Financial Markets'.

Microsoft namens Autoriteit Financiële Markten <msonlineserviceteam@microsoftonline.com>
aan ik -



Enter the code you received in the 'verification code' field on the Portal and click verify code.

Fill in a new password and confirm. New passwords must meet the following requirements.

The password must have a length between 8 and 64 characters.

The password must contain at least 3 of the following characters:

- a lower-case letter
- an upper-case letter
- a numeric character
- a symbol (@ # \$ % ^ & * - _ + = [] { } | : ' , ? / ` ~ " () ; .)

Also fill in the following fields:

- First name
- Last name
- Prefix
- Telephone number

Read the [General Terms and Conditions](#) and tick to agree.

Then click on 'Create'.

1.2 Viewing an account

After logging in, you can view your data via the 'View data' option, which appears as a drop-down menu next to your login name in the top right-hand corner of the screen.

1.3 Forgotten password

If you have forgotten your password, you can request a new one by clicking the 'forgotten password' option. If you cannot remember your username, simply request a new account. Your account will not be blocked if you enter incorrect passwords.

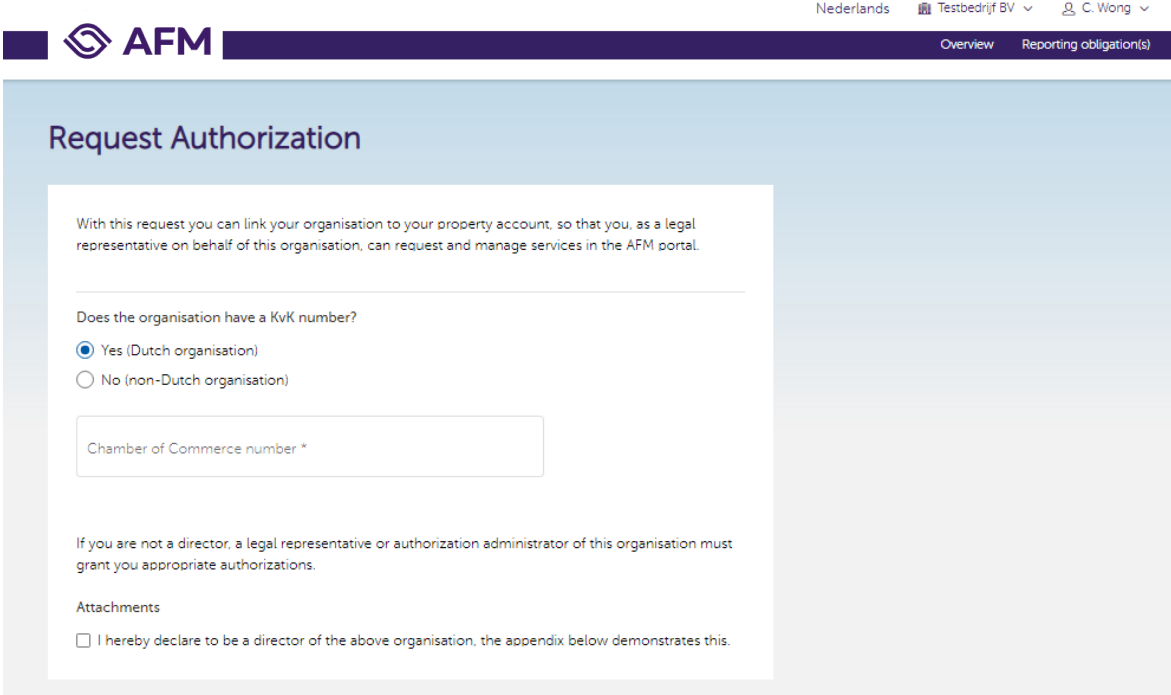
2. Linking the account to your company

Before a company director (a company director is referred to as 'legal representative' in the Portal) can start using the AFM's services, they must first activate the 'Link company' service. If you are not a director, a statutory representative or authorisation manager of this company must grant you the appropriate authorisations. This will be explained further in Chapter 3.

Make sure you have the following documents at hand before you activate this service:

- An extract from the Chamber of Commerce

Activate the service 'Authorise company'.



The screenshot shows the AFM portal interface. At the top right, there are navigation links for 'Nederlands', 'Testbedrijf BV', and 'C. Wong'. Below the AFM logo, there are links for 'Overview' and 'Reporting obligation(s)'. The main heading is 'Request Authorization'. The form content includes a descriptive paragraph, a question 'Does the organisation have a KvK number?' with radio button options for 'Yes (Dutch organisation)' (selected) and 'No (non-Dutch organisation)'. Below this is a text input field labeled 'Chamber of Commerce number *'. A disclaimer states that non-directors need authorizations. At the bottom, there is an 'Attachments' section with a checkbox for 'I hereby declare to be a director of the above organisation, the appendix below demonstrates this.'.

Enter a Chamber of Commerce registration number. If you do not have a Chamber of Commerce registration number because your company is not a Dutch company or is not (or no longer) registered with the Chamber of Commerce, choose the option 'No' and fill in these fields.

Statutory name *

Street name *

House number *

House number addition

Postal code *

Place of residence *

Country * ▼

Registration Chamber of Commerce

Only complete if the organization has a registration number (for instance local Chamber of Commerce) in the country of origin, otherwise leave blank

LEI code

Place a tick in the box next to the declaration that you are director of the specified company. Then add the requested attachment (extract from the Chamber of Commerce).

Attachments

I hereby declare to be a director of the above organisation, the appendix below demonstrates this.

Click 'Submit'

You will receive confirmation once your application has been processed.

3. Authorisations and components

Connecting or disconnecting a new user (who is not a statutory representative) to a company should from now on be done in the AFM Portal by a statutory representative of the company. The statutory representative (or an authorisation manager designated by the statutory representative) then determines which authorisations and permissions a new user will receive in terms of having access to the company's data (via a component) in the AFM Portal.

The authorisation structure in the AFM Portal has different levels of authorisation:

- **Statutory representative:** who has access to all data and authorisation to grant or deny others access to data. In principle, this is always a director of the company.
- **Authorisation manager:** who is appointed by the statutory representative to grant or deny others access to data. An authorisation manager cannot change any of the statutory representative's authorisations or permissions.
- **Employee:** who has access to data as designated by the statutory representative or authorisation manager.

The AFM Portal contains the following components to which access is granted by a statutory representative or authorisation manager:

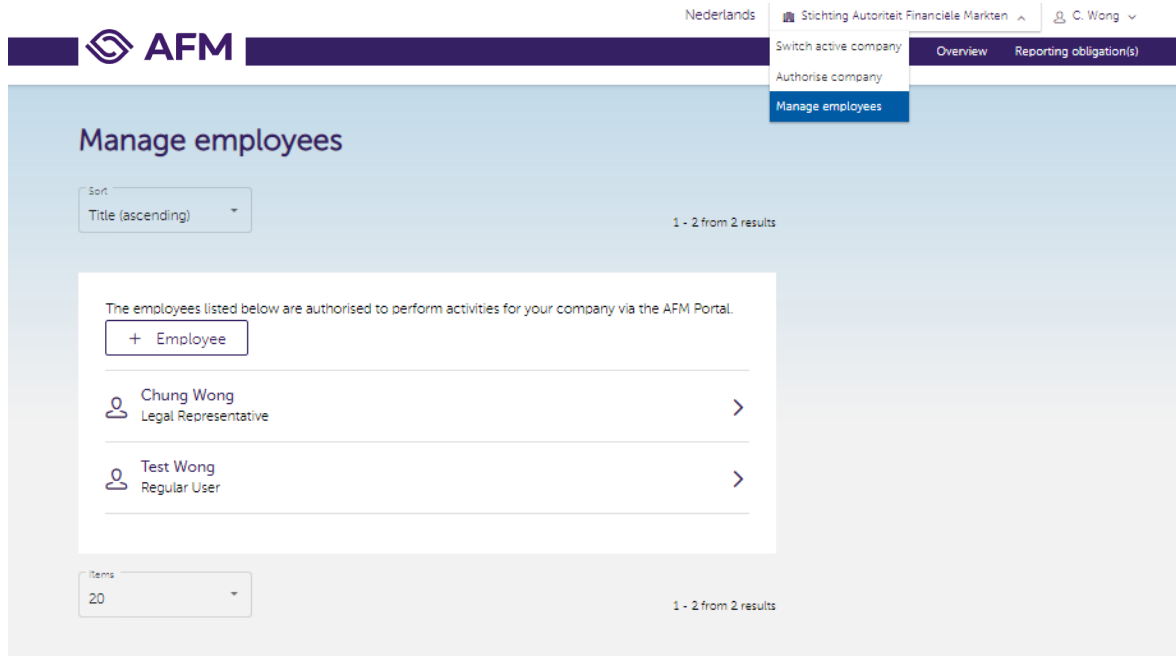
- **Manage authorisations:** for viewing and managing the authorisations and permissions of users of the AFM Portal at your company. This environment is aimed at clarifying the authorisation structure for authorised users.
- **Market access and registration changes:** for the notification of changes in (partial) permits, registrations and assessable persons. This is an existing environment.
- **Reporting:** this environment is for submitting reports and completing questionnaires.
- **Request prospectus services:** this environment will be used for approval of a prospectus.
- **Issued capital:** this environment will be used for reporting issued capital from issuing institutions.
- **Notification disclosure of inside information (article 17 MAR):** this environment will be used for notification of publication of inside information.

The above is summarised in a table below

Authorisations and components		Authorisations		
		Statutory representative	Employee	Authorisations manager
Components	Manage authorisations	yes	no	yes
	Market access and registration changes	yes	optional	optional
	Reporting	yes	optional	optional
	Request prospectus services	yes	optional	optional
	Issued capital	yes	optional	optional
	Notification disclosure of inside information (article 17 MAR)	yes	optional	optional

3.1 Managing employees

Log in as a statutory representative or authorisation manager and go to the 'Manage employees' option.



The '+ Employee' option allows you to add a new user to your company. The user must, however, be registered in the AFM Portal (see Chapter 1). To add the new user, fill in their email address.

Add employee

Employee account

The employee must create an account on the AFM portal himself, after which the email address of this person can be entered below in order to link that person to the company.

Email *

Authorisation

Service	Write
Issued capital	<input type="checkbox"/>
Manage permissions	<input type="checkbox"/>
Market access and registration changes	<input type="checkbox"/>
Notification disclosure of inside information (article 17 MAR)	<input type="checkbox"/>
Reporting	<input type="checkbox"/>
Request prospectus services	<input type="checkbox"/>

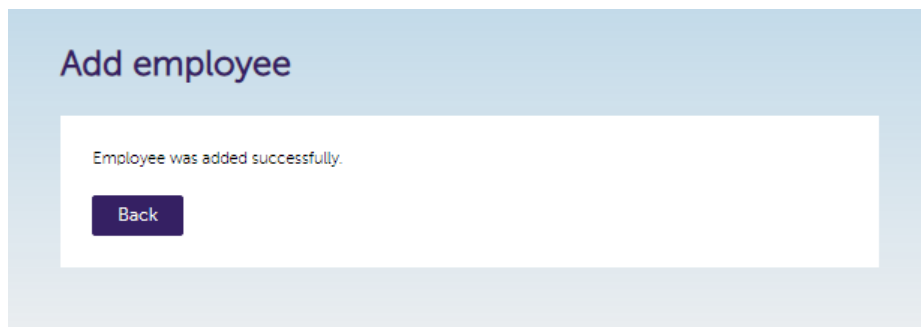
Add

Then indicate which permissions the new user needs by ticking them off:

Authorisation

Service	Write
Issued capital	<input type="checkbox"/>
Manage permissions	<input type="checkbox"/>
Market access and registration changes	<input type="checkbox"/>
Notification disclosure of inside information (article 17 MAR)	<input type="checkbox"/>
Reporting	<input type="checkbox"/>
Request prospectus services	<input type="checkbox"/>

Click 'Add'. This screen will then appear.



Click on 'Back' to go to the overview of 'Manage employees'. The new user is now also on the list.

Manage employees

Sort: Title (ascending) 1 - 2 from 2 results

The employees listed below are authorised to perform activities for your company via the AFM Portal.

+ Employee

- Chung Wong
Legal Representative
- Test Wong
Regular User

Items: 20 1 - 2 from 2 results

You can also change existing users in this overview. Click on the relevant user and you can make changes via this screen. You can delete the user or change the permissions. After making the changes, click on 'Save'.

Modify Employee

Employee account

Remove employee

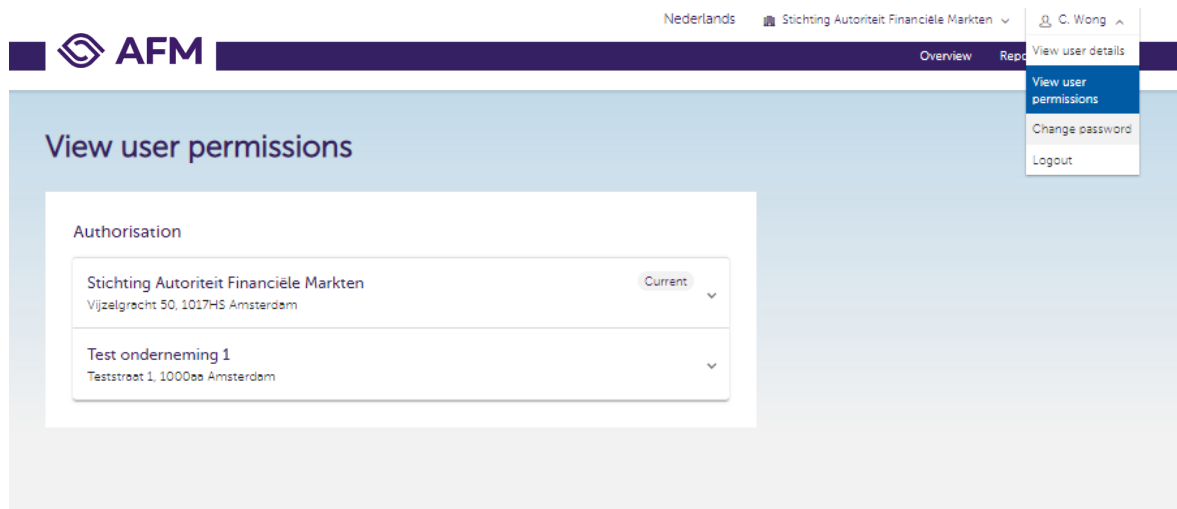
Authorisation

Service	Write
Issued capital	<input type="checkbox"/>
Manage permissions	<input type="checkbox"/>
Market access and registration changes	<input checked="" type="checkbox"/>
Notification disclosure of inside information (article 17 MAR)	<input type="checkbox"/>
Reporting	<input type="checkbox"/>
Request prospectus services	<input type="checkbox"/>

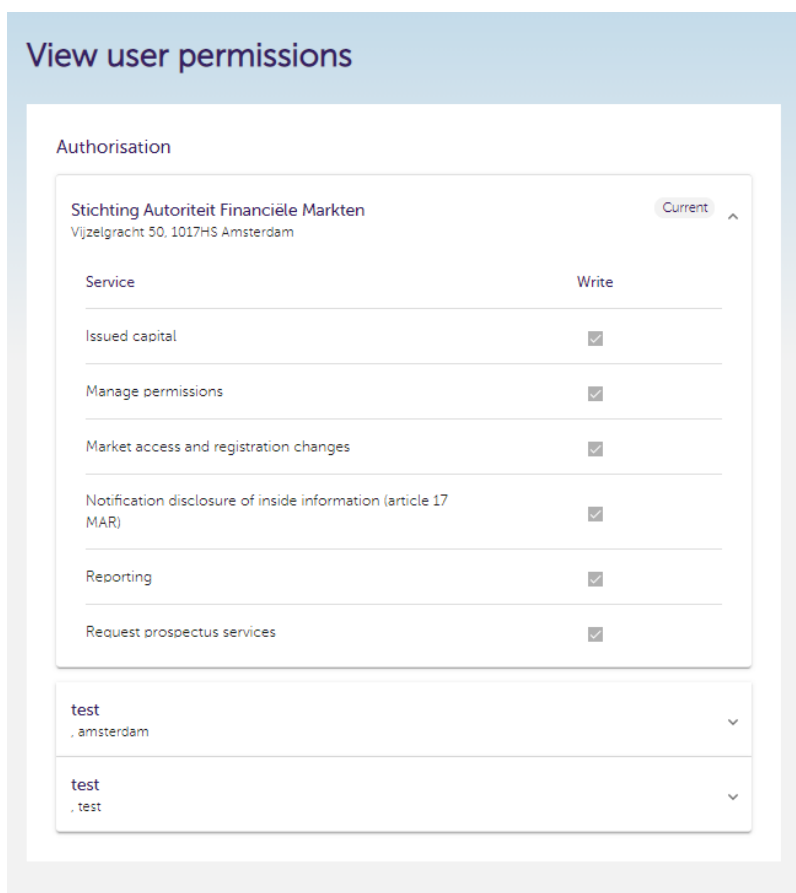
Save Cancel

3.2 Viewing authorisations

Via 'View user permissions' you get an overview of the companies to which your account is linked.



You can open each company separately to see what permissions you have.



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