Manual MiCAR STORs

This document serves as a manual for crypto entities/CASPs who want to submit a MiCAR STOR via the AFM STOR portal. The AFM STOR portal is currently set up to process STOR reports from the equities/stock market. This manual allows MiCAR STORs to be submitted via the regular portal.

Submit MiCAR STOR

The AFM portal can be reached via the following link: <u>AFM portal</u>.

You must first choose to create a new STOR in the next screen:

FOR notific	ations	5			
New STOR	Nother	Register date	Status		
No data found		hami ser sage 5 w	6#8 IC		

Submitting a STOR is done via 6 tabs. The first tab is shown below. This can be filled without any additions.



The second tab is shown below. The name of the crypto asset must be entered under *Issuer*. *ISIN* and *CFI code* do not have to be filled in. The rest can be filled in as stated.

Reporter 2 Tran	saction 3 Nature	e 4 Suspect	5 Additional	6	Documents	
_						
nsaction/Order						
Description of financial ins	strument(s)					
bescription of manciating	in a merica					
Issuer No data	Isin		CFI code			
New						
0						
Iransaction/Order						
Transaction/Order Start o	date time*					
Transaction/Order End di	ate time					
Country) where Orde search	ër is given					
Cocation (country) where Orde search Cocation (country) where Orde Search Market	er is given er is executed					
Coastion (country) where Orde search Coastion (country) where Orde search Market Name	er is given er is executed MIC		Country			
Cocation (country) where Orde search Cocation (country) where Orde Search Market Name No data	er is given er is executed MIC		Country			
Cocation (country) where Orde search Cocation (country) where Orde Search Market Name No data New	er is given er is executed MC		Country			
Cocation (country) where Orde search Cocation (country) where Orde Search Market Name No data New Market found?	er is given er is executed MIC		Country			
Cocation (country) where Orde search Cocation (country) where Orde Search Market Name No data New Market found?	er is given er is executed MIC		Country			
Cocation (country) where Orde Search Cocation (country) where Orde Search Market Name New Market No data New Market found? Description of the Order	er is given er is executed MIC		Country			
Cocation (country) where Orde Search Cocation (country) where Orde Search Cocation (country) where Orde Search Market Name No data New Market found? Cocation of the Order Cocation of the Order	er is given er is executed MIC or Transaction		Country			
Cocation (country) where Orde Search Cocation (country) where Orde Search Cocation (country) where Orde Search Market Name No data New Market found? Cocation of the Order	er is given er is executed MIC or Transaction		Country			

Tabs 3,4,5 and 6 are shown below. These fields can also be filled in as stated. Report Form STOR - Suspicious Transaction and Order Report

1 Reporter	2 Transaction	3 Nature	4 Suspect	5 Additional	6 Documents		
Description of the	nature of the susp	icion					
Nature of sus	picion*						
Nature of Sus	picion found?						
Reasons for th	e suspicion*						
					4		
						Previous Nei	xt
Report Fo	rm STOR -	Suspicious	Transac	tion and O	rder Report		
1 Reporter	2 Transaction	3 Nature	4 Suspect	5 Additional	6 Documents		
Identity of the Su	spected Person wit	h respect to the or	ders or transa	ctions	_		
Name		clientidentifier		Country			
No data							
New							
						Previous	Next

		200 9 () 0 () () () () () () () () (
Reporter	2	Transaction	3 Na	sture	4 Suspect	5 Additional	6 Documents
ional infor	mation	ŝ.					
ckground or a	any othe	r information cor	sidered relev	rant to the n	eport. The followi	ng list is not exhaustive:	
The position	on of the	e suspected perso	n (e.g. retail)	client, institu	utions)		
 The nature The size of 	e of the sus	suspected entity's/p	person's portfo	ervention (o blio	n own account, o	n behair of a client, othe	n
The date of	on which	the business relation de	tionship with	the client s	tarted if the suspe	ected entity/person is a c	lient of the reporting person/entity
 Trading pa 	atterns of	the suspected e	ntity/person.	For guidanc	e, the following a	re examples of informati	on that may be useful:
 tradii com 	ing habit	s of the suspecter v of the size of th	d entity/perso e reported or	on in terms o der/transact	of use of leverage tion with the avera	and short selling, and fre	equency of use pmitted/transactions carried out by the suspected
entit	y/persor	for the past 12 n	nonths				
 habit mon 	ts of the oths, in pi	suspected entity/ articular whether	person in ter the reported	ms of the is order/transi	suers whose secu action relates to a	rities it has traded or typ n issuer whose securities	es of financial instruments traded for the past 12 s have been traded by the suspected entity/person fo
the p	past year						
 Other entities dealing or 	market	manipulation:	involved in t	ne orders or	r transactions that	could constitute insider	dealing, market manipulation or attempted insider
e Nam	ity le n	avacuting ordan	on babalf of	cliente deall		int operation a trading up	onua custamatic internalizar ate l
							<u>x</u>
							<i>A</i>
							<u>k.</u>
							A.
							APrevious 1
							A Previous 1
port Fc	orm	STOR -	Suspic	cious	Transact	ion and Or	Previous 1
oort Fc	orm	STOR -	Suspic	cious	Transact	ion and Or	Previous 1 der Report
port Fc	orm 2	STOR - Transaction	Suspic		Transact 4 Suspect	tion and Or 5 Additional	Previous 1 der Report O Documents
DORT FC	Drm 2 attach	STOR - Transaction ed	Suspic 3 No	CIOUS	Transact 4 Suspect	tion and Or 5 Additional	Previous 7
DOPT FC Reporter mentation t the supportion	Drm 2 attach	STOR - Transaction ed hments and mate	Suspic 3 Na rial together	ture provided with	Transact 4 Suspect th this STOR.	tion and Or	Previous 1 der Report 6 Documents
DORT FC Reporter mentation t the supportia amples of suc	Drm 2 attach ing attac	STOR - Transaction ed Inments and mate	Suspic 3 Na nial together nais, recordin	CIOUS ture provided with tigs of conve	Transact 4 Suspect th this STOR. restions, orger/tra	tion and Or	Previous () () () () () () () () () () () () ()
DORT FC Reporter mentation the supportia semples of suc- cuments, and	2 attach ing attac th docum	STOR - Transaction ed ments and mate mentation are é-n comment where r	Suspic 3 Na nial together halts, recordin elevant.	CIOUS ture provided wit	Transact 4 Suspect th this STOR. risations, order/tra	tion and Or	Previous () der Report Occuments masons, broker reports, Powers of Attorney
DOORT FCC Reporter mentation t the supportia amples of suc- cuments, and nere the detail	2 attach ing attach in docun i media o led infor	STOR - Transaction ed Inments and mate mentation are e-n comments where in mation about the	Suspic 3 Na nal together nalls, recordin elevant. orders/transi	CIOUS ture provided withings of conver- actions refer	Transact 4 Suspect th this STOR reations, order/tra	cion and Or 5 Additional maction records, confir	Previous T
DOORT FCC Reporter imentation at the supportion summents, and here the detail Uppload	2 attach ing attach in docun i media c i docun	STOR - Transaction ed Inments and mate information are e- nomment where r mation about the	Suspic 3 Na rial together rialis, recordin elevant. orders/transi	CIOUS ture provided with tigs of conve actions refer	Transact 4 Suspect th this STOR. resistons, order/bra rred to in Section	S Additional	Previous 1 Previous 1 Coursens Documents mations, broker reports, Powers of Attorney Ided in a separate annex, indicate the title of that ann
COORT FCC Reporter Immentation amples of suc- currents, and here the detail Upload	2 attach ing attach ind docum i media o i led infor	STOR - Transaction ed hments and mate e-n mation about the exists.doc.tht.pd	Suspic 3 Na rial together nals. recordin elevant. orders/transi	ture provided with response of convertiges of conv	Transact 4 Suspect th this STOR restions, order/tra reed to in Section	tion and Or 5 Additional neaction records, confir 2 of this template is prov	Previous T
Doort FCC Reporter mentation t the supportin amples of suc cuments, and tere the detail Uptoted Uptoted extern by abhenume	2 attach ing attach ind docum media c ind docum media c indocum media c	STOR - Transaction ed mments and mate mentson are e-m comment where r mastion about the exist.doct.th pd exist.doct.th pd exi	Suspic 3 Na niai together nalis, recordin elevant. orders/transi ti.peg.png res are accego	cious ture provided with provided provided provided provided with provided provided pr	Transact 4 Suspect th this STOR. read to in Section irred to in Section	tion and Or Additional Insaction records, confir	Previous T
Dort FC Reporter mentation t the supportia minist of suc cuments, and the detail Uptionad pointed extent sy alphenume e total size of	2 attach ing attack in docun ing attack in docun ing attack ing at	STOR - Transaction ed mentsto are e-n comment where r mation about the e: xisk.dock.tht.pd es and undersco les and undersco	Suspic 3 Na nial together nalis, recordin elevant. orders/transi t/peg.png. res are accep larger than 3	cious provided wi actions refer actions refer ted in the fil to the fil	Transact 4 Suspect on this STOR. rread to in Section ie names.	tion and Or S Additional Insection records, confir 2 of this template is prov	Previous T

After completing tab 6, you can click on submit and the STOR will then be submitted correctly. If there are any further questions, feel free to contact your AFM contact person.