

Company name	<input type="text"/>
CoC number	<input type="text"/>
Client number*	<input type="text"/>
Case number*	<input type="text"/>

* You only need to fill in the client number and the case number if you have them.

This declaration forms part of the licence application or extension. You only need to submit this declaration if you are applying for a licence to broker in life insurances.

PEP policy

Your company has risk-based procedures in place to determine whether the client is a politically exposed person (PEP) who does not reside in a public body.

Legal basis: [Section 2.10\(3\) Wwft BES](#) ¹

Customer due diligence

Your company conducts a customer due diligence to entering into a business relationship or carrying out a transaction. Clients are investigated, a risk profile is drawn up and it is determined whether they represent an increased risk of money laundering or financing of terrorism. The customer due diligence must be geared to the risks that the type of customer and the type of service entail. Your company must continuously monitor the business relationship and the transactions executed during this business relationship. Your company guarantees that data are properly recorded.

Legal basis [Sections 2.2, 2.3, 2.4, 2.5, and 2.13 Wwft BES](#) ²

Reporting of unusual transactions

Your company will immediately report (intended) unusual transactions to the [Financial Intelligence Unit - The Netherlands](#) (FIU) ³ and is familiar with the broad meaning of the Wwft BES concept of 'transaction'. Your company guarantees that data are properly recorded.

Legal basis: [Section 3.5 Wwft BES](#) ⁴

Training

Your company ensures that its employees, insofar as relevant for performing their tasks, are familiar with the provisions of the Wwft BES and that they follow periodic training that enables them to recognise unusual transactions.

Legal basis: [Section 3.12 Wwft BES](#) ⁵

¹ https://wetten.overheid.nl/BWBR0030824/2019-01-01/#Hoofdstuk2_Paragraaf3_Artikel2.10

² https://wetten.overheid.nl/BWBR0030824/2019-01-01/#Hoofdstuk2_Paragraaf1_Artikel2.2

³ <https://www.fiu-nederland.nl/nl/bent-u-al-geregistreerd>

⁴ https://wetten.overheid.nl/BWBR0030824/2019-01-01/#Hoofdstuk3_Paragraaf2_Artikel3.5

⁵ https://wetten.overheid.nl/BWBR0030824/2019-01-01/#Hoofdstuk3_Paragraaf5_Artikel3.12

Obligation to report under sanction legislation

Your company will immediately notify the Dutch Central Bank when it enters into a relationship with legal or natural persons or entities that are listed on sanction lists. Your company guarantees that data are properly recorded.

Legal basis: [Section 3.13 Wwft BES](#)⁶

More information about Wwft BES

More information about the Wwft BES can be found at [this page](#)⁷ of the AFM website. You can also download the [AFM Guideline Wwft, Wwft BES and Sanctions Act](#)⁸ on the AFM website.

Signature

This declaration must be signed by an authorised policymaker.

Date

Signature

⁶ https://wetten.overheid.nl/BWBR0030824/2019-01-01/#Hoofdstuk3_Paragraaf6_Artikel3.13

⁷ <https://www.afm.nl/nl-nl/professionals/doelgroepen/caribisch-nederland/wwftbes>

⁸ <https://www.afm.nl/~/profmedia/files/wet-regelgeving/beleidsuitingen/leidraden/wwft.pdf?la=nl-nl>