Registration clients

TRANSACTION REPORTING MIFIDII

Applicable for Approved Reporting Mechanism (ARM) and Trading Venue

Approved Reporting Mechanism (ARM)[1] or Trading Venue

The ARM or Trading Venue reporting on behalf of a firm subject to the reporting obligation. The LEI code belonging to the ARM/Trading Venue refers in the transaction reports to the Submitting Entity. Please provide the following details:

Name of ARM/Trading Venue	Capacity (ARM/Trading venue)	LEI code

Firms subject to the reporting obligation

Firms that are obligated to report transactions to the AFM. The LEI code belonging to a firm subject to the reporting obligation refers in the transaction reports to the Executing Entity. Please provide the indicated details below. Firms listed must have an active Investment Firm status* in the Netherlands prior to submitting the form to the AFM. Please note that registration is not required for non-MiFID members.

*Investment Firm status can be verified with the ESMA Register

Name of Investment Firm	LEI code

Requesting access to (please indicate if access is requested to test, production or both)

Test environment ☐
Production environment ☐

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[1] Article 4(1)(54) of Directive 2014/65/EU: approved reporting mechanism' or 'ARM' means a person authorised under this Directive to provide the service of reporting details of transactions to competent authorities or to ESMA on behalf of investment firms.